



Attorney's Docket No.: 05770-1210017 ASC-487

Receipt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Swarn S. Kalsi  
Serial No. : 09/632,600  
Filed : August 4, 2000  
Title : EXCITER FOR SUPERCONDUCTING ROTATING MACHINERY

Art Unit : 2834  
Examiner : Unknown

#2/CFR.  
Hawkins  
11/7/00

Commissioner for Patents  
Washington, D.C. 20231

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Please correct the filing receipt for the above-referenced application. The inventor's name is listed as "Swarn S. Kalsh, Shrewsbury, MA." The correct spelling is --**Swarn S. Kalsi, Shrewsbury, MA**--. Attached is copy of the signed Combined Declaration and Power of Attorney.

Please supply a corrected filing receipt to the undersigned with respect to this application. A copy of the original Filing Receipt showing the desired changes in red ink is attached for your convenience.

RECEIVED

NOV 02 2000

TECHNOLOGY CENTER 2800

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below, and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit

October 3, 2000

Signature

Matthew G. Doherty  
Typed or Printed Name of Person Signing Certificate

Applicant : Swarn S. Kalsi  
Serial No. : 09/632,600  
Filed : August 4, 2000  
Page : 2



Attorney's Docket No.: 05770-121001 / ASC-487

No fee is believed to be due. If, however, there are any charges or credits, please apply them to Deposit Account No. 06-1050.

Respectfully submitted,

Date: October 3, 2000

Frank R. Occhiuti

Frank R. Occhiuti  
Reg. No. 35,306

Fish & Richardson P.C.  
225 Franklin Street  
Boston, MA 02110-2804  
Telephone: (617) 542-5070  
Facsimile: (617) 542-8906

20132493.doc

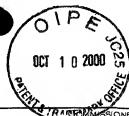
RECEIVED

NOV 02 2000

TECHNOLOGY CENTER 2800



## UNITED STATES PATENT AND TRADEMARK OFFICE



Page 1 of 3

UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/632,600	08/04/2000	2834	690	05770-121001	6	8	2

Fish & Richardson P C  
225 Franklin Street  
Boston, MA 02110-2804

RECEIVED

NOV 02 2000

TECHNOLOGY CENTER 2800

## FILING RECEIPT



\*OC000000005428784\*

Date Mailed: 09/26/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Swam S. Kalsi, Shrewsbury, MA;

Continuing Data as Claimed by Applicant

## Foreign Applications

## Title

Exciter for superconducting rotating machinery

## Preliminary Class

310

DOCKETED BY PRACTICE SYSTEMS	
Action Code	APPLICANT'S NAME
Base Date	9/26/00
Due Date	10/26/00
Deadline	
Initials	KYM

RECEIVED

SEP 29 2000

FISH & RICHARDSON, P.C.  
BOSTON OFFICE

Data entry by : MARTIN, DIANE

Team : OIPE

Date: 09/26/2000



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

**RECEIVED**

NOV 02 2000

TECHNOLOGY CENTER 2800



RECEIVED

NOV 02 2000

TECHNOLOGY CENTER 2800

# COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled EXCITER FOR SUPERCONDUCTING ROTATING MACHINERY, the specification of which:

- ☒ is attached hereto.  
☐ was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_ and was amended on \_\_\_\_\_.  
☐ was described and claimed in PCT International Application No. \_\_\_\_\_ filed on \_\_\_\_\_ and as amended under PCT Article 19 on \_\_\_\_\_.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information I know to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby appoint the following attorneys and/or agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Gary A. Walpert, Reg. No. 26,098  
John N. Williams, Reg. No. 18,948  
John F. Hayden, Reg. No. 37,640  
John W. Powell, Reg. No. 36,640

Frank R. Occhiuti, Reg. No. 35,306  
Thomas M. Johnston, Reg. No. 41,098  
Mary Raynor Jimenez, Reg. No. 37,219

Address all telephone calls to GARY A. WALPERT telephone number (617) 542-5070.

Address all correspondence to GARY A. WALPERT at:

FISH & RICHARDSON P.C.  
225 Franklin Street  
Boston, MA 02110-2804

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Full Name of Inventor: SWARN S. KALSI

Inventor's Signature: Swarn S. Kalsi  
Residence Address: Shrewsbury, Massachusetts  
Citizenship: United States  
Post Office Address: 22 Minuteman Way  
Shrewsbury, MA 01545

Date: 8-4-2000



RECEIVED

NOV 02 2000

TECHNOLOGY CENTER 2800